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Applicants: Stephen John HINDE, )  
et al. )  
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For: "ENABLING VOICE CONTROL OF )  
VOICE-CONTROLLED APPARATUS" ) Date: December 4, 2001

CLAIM TO PRIORITY UNDER 35 U.S.C. 119

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Sir:

[X] Applicants hereby make a right of priority claim under 35 U.S.C. 119 for the benefit of the filing date(s) of the following corresponding foreign application(s):

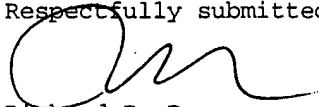
<u>COUNTRY</u>	<u>FILING DATE</u>	<u>SERIAL NUMBER</u>
Great Britain	5 December 2000	0029571.7
Great Britain	12 March 2001	0105949.2

[ ] A certified copy of each of the above-noted patent applications was filed with the Parent Application No. \_\_\_\_\_.

[X] To support applicants' claim, certified copies of the above-identified foreign patent applications are enclosed herewith.

[ ] The priority document will be forwarded to the Patent Office when required or prior to issuance.

Respectfully submitted,

  
Richard P. Berg  
Attorney for Applicant  
Reg. No. 28,145

LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, CA 90036  
Telephone: (323) 934-2300  
Telefax: (323) 934-0202

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4. Title of the invention

Activation of voice-controlled apparatus

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Hewlett-Packard  
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## Activation of Voice-Controlled Apparatus

### Field of the Invention

- 5 The present invention relates to the activation of voice-controlled apparatus.

### Background of the Invention

- Voice control of apparatus is becoming more common and there are now well developed technologies for speech recognition particularly in contexts that only require small  
10 vocabularies.

- However, a problem exists where there are multiple voice-controlled apparatus in close proximity since their vocabularies are likely to overlap giving rise to the possibility of several different pieces of apparatus responding to the same voice command.

15

- It is known from US 5,991,726 to provide a proximity sensor on a piece of voice-controlled industrial machinery or equipment. Activation of the machinery or equipment by voice can only be effected if a person is standing nearby. However, pieces of industrial machinery or equipment of the type being considered are generally not closely packed so  
20 that whilst the proximity sensor has the effect of making voice control specific to the item concerned in that context, the same would not be true for voice controlled kitchen appliances as in the latter case the detection zones of the proximity sensors are likely to overlap.

- 25 One way of overcoming the problem of voice control activating multiple pieces of apparatus, is to require each voice command to be immediately preceded by speaking the name of the specific apparatus it is wished to control so that only that apparatus takes notice of the following command. This approach is not, however, user friendly and users frequently forget to follow such a command protocol, particularly when in a hurry.

30

It is an object of the present invention to provide a more user-friendly way of minimising the risk of unwanted activation of multiple voice-controlled apparatus by the same verbal command.

## 5 **Summary of the Invention**

According to the present invention, there is provided a method of activating voice-controlled apparatus, comprising the steps of :

- (a) - detecting when the user is looking towards the apparatus;
- (b) - detecting when the user is speaking; and
- 10 (c) - enabling the apparatus for voice control only if steps (a) and (b) indicate that the user is simultaneously looking towards the apparatus and speaking.

The present invention also encompasses a system and apparatus embodying the foregoing method of the invention.

15

## **Brief Description of the Drawings**

A method and system embodying the invention, for controlling activation of voice-controlled devices, will now be described, by way of non-limiting example, with reference  
20 to the accompanying diagrammatic drawings, in which:

- . **Figure 1** is a diagram illustrating a room equipped with camera-equipped voice-controlled devices;
- . **Figure 2** is a diagram illustrating a camera-equipped room for controlling activation of voice-controlled devices in the room;
- 25 . **Figure 3** is a diagram illustrating a room in which there is a user with a head-mounted camera arrangement for controlling activation of voice-controlled devices in the room; and
- . **Figure 4** is a diagram illustrating a room in which there is a user with a head-mounted infrared pointer for controlling activation of voice-controlled  
30 devices in the room.

### **Best Mode of Carrying Out the Invention**

Figure 1 shows a work space 11 in which a user 10 is present. Within the space 11 are three voice-controlled devices 14 (hereinafter referred to as devices A, B and C respectively) each with different functionality but each provided with a similar user interface subsystem 15 permitting voice control of the device by the user.

More particularly, and with reference to device C, the user-interface subsystem comprises:

- a camera 20 feeding an image processing unit 16 that, when enabled, is operative to analyse the image provided by the camera to detect any human face in the image and determine whether the face image is a full frontal image indicating that the user is looking towards the camera and thus towards the device C. The visibility of both eyes can be used to determine whether the face image is a frontal one. A general face detector is described in reference [1] (see end of the present description). More refined systems that can be used to determine whether an individual is looking at the device concerned by means of gaze recognition are described in references [2] to [4]. It may also be useful to seek to recognise the face viewed in order to be able to implement identity-based access control to the devices 14. Numerous face identification and recognition research systems exist such the MIT face recognition system developed by the Vision and modeling group of the MIT Media Lab; further examples of existing systems which are able to identify a face from an image are given in references [5] to [14].
- a microphone 21 feeding a speech recognition unit 23 which, when enabled, is operative to recognise a small vocabulary of command words associated with the device and output corresponding command signals to a control block 26 for controlling the main functionality of the device itself (the control block can also receive input from other types of input controls such as mechanical switches so as to provide an alternative to the voice-controlled interface 15).
- a sound-detection unit 27 fed by microphone 21 which triggers activation of the image processing unit upon detecting a sound (this need not be a speech sound). Once triggered, the image processing unit will initiate and complete an analyse of the current image from camera 20.

- an activation control block 18 controlling activation of the speech recognition unit 23 in dependence on the output of the image processing unit 24; in particular, when the image processing unit indicates that the user is looking at the device, the block 18 enables the speech recognition unit.

5 Since the image processing unit 24 will take a finite time to analyse the camera image, a corresponding duration of the most recent output from the microphone is buffered so that what a user says when first looking towards the device is available to the speech recogniser when the latter is enabled as a consequence of the image processing unit producing a delayed indication that the user is looking towards the device. An alternative approach is to  
10 have the image processing unit operating continually (that is, the element 27 is omitted) with the most recent image analysis always being used as input to the activation control.

If the user 10 just speaks without looking towards device C, the activation control block 25 keeps the speech recogniser 23 in an inhibited state and the latter therefore produces no  
15 output to the device control block 26. However, upon the user looking towards the camera 20 the image processing unit detects this and provides a corresponding indication to the activation control block 25. As a consequence, block 25 enables the speech recognition unit to receive and interpret voice commands from the user. This initial enablement only exists whilst the image processing unit 24 continues to indicate that the user is looking  
20 towards the device. Only if the user speaks during this initial enablement phase does the activation control block 25 continue to enable the speech recognition unit 23 after the user looks away; for this purpose (and as indicated by arrow 28 in Figure 1), the block 25 is fed with an output from the speech recogniser that simply indicates whether or not the user is speaking.

25

When the user stops talking, the block 25 continues to enable the speech recognition unit 23 for a limited further period (for example, 5 seconds) in case the user wishes to speak again to the device. If the user starts talking again in this period, the speech recogniser interprets the input and also indicates to block 25 that the user is speaking again; in this  
30 case, block 25 continues its enablement of unit 23 and resets its timing out of the aforesaid limited period of silence allowed following speech cessation. Since there may be several people in the space 11 any of whom may start talking in the limited timeout period, the



speech recognition block 23 is preferably arranged to characterise the voice of the person last initiating device control by looking at the device, and then to check that any voice detected during the timeout period has the same characteristics (that is, is the same person) – if this check fails, that voice input is ignored.

5

In this manner, the user can easily ensure that only one device at a time is responsive to voice control.

Since a single camera has only a limited field of vision, various measures can be taken to  
10 increase the visual coverage provided. For example, the camera can be fitted with a wide-angle lens or with a scanning apparatus, or multiple cameras can be provided.

As already indicated, access to the device C can be made dependent on the identity of the user by having the image processing block also carry out face recognition against a stored  
15 library of images of authorised personnel; with this arrangement, the processing block 24 only generates an output to activation control block 25 if the viewed face is recognised. Other forms of user recognition can be used alternatively, or additionally, to face recognition. For example, the user could be identified by speaking a code word or by voice feature recognition (typically, these checks would be carried out by block 23 when  
20 activated).

It is, of course, possible that several people are facing the same device with not all of them being authorised to use the device. Whilst it may be advantageous in some situations to permit device usage by anyone whenever an authorised user is present, in other situations  
25 this may not be desired. In such situations, the user interface subsystem 15 is preferably arranged to recognise which of the persons facing the device is actually talking and only enable device utilisation if that person is authorised. One way in which this can be done is to check if the voice heard has characteristics corresponding to the pre-stored characteristics of the authorised person or persons viewed. Another possibility is to have  
30 the image processing block 24 seek to detect lip movement on the face of persons recognised as authorised. Suitable detection arrangements are described in references [15] and [16].

In fact, the detection of lip movement by a user facing the device is advantageously made a condition of initial enablement of the device (independently of any user identity requirements) since even with only one person facing the device, the voice of another  
 5 person may be picked up by the voice recogniser block 23 and trigger operation of the apparatus

Figure 2 shows another embodiment which whilst operating in the same general manner as the Figure 1 arrangement for effecting activation control of voice-controlled devices 14,  
 10 utilises a set of four fixed room cameras 28 to determine when a user is looking at a particular device. These cameras feed image data via LAN 29 to a device activation manager 30 which incorporates an image processing unit 33 for determining when a user is looking at a particular device having regard to the direction of looking of the user and the user's position relative to the device positions. When the unit 33 determines that the user  
 15 is looking at a device 14 it informs a control block 34 which is responsible for informing the device concerned via an infrared link established between an IR transmitter 35 of the manager and an IR receiver 36 of the device. The manager 30 has an associated microphone 31 which, via sound activation control block 37, causes the image processing unit to be activated only when a sound is heard in the room.

20

The devices themselves do not have a camera system or image processing means and rely on the manager 30 to inform them when they are being looked at by a user. Each device 14 does, however, include control functionality that initially only enables its speech recognition unit whilst the user is looking at the device as indicated by manager 30, and  
 25 then provided the user speaks during this initial enablement, maintains enablement of the speech recogniser whilst the speaking continues and for a timeout period thereafter (as for the Figure 1 embodiment) even if the user looks away from the device.

Figure 3 shows a further camera-based embodiment in which the voice-controlled devices  
 30 14 (only two shown) are of substantially the same form as in the Figure 2 embodiment, but the camera system is now a camera 51 mounted on a head mounting carried by the user and facing directly forwards to show what the user is facing towards. This camera forms part of

user-carried equipment 50 that further comprises an image processing unit 53, control block 54, and an infrared transmitter 35 for communicating with the devices 14 via their infrared receivers 36. The image from the camera 51 is fed to the image processing unit 53 where it is analysed to see if the user is facing towards a device 14. This analysis can be based simply on recognising the device itself or the recognition task can be facilitated by providing each device with a distinctive visual symbol, preferably well lit or, indeed, constituted by a distinctive optical or infrared signal. When the unit 53 determines that the user is facing a device it informs the control block 54 which is then responsible for notifying the corresponding device via the IR transmitter 35 (or other communications link). The distinctive visual symbol may identify the device in terms of its address on a communications network.

One possible form of distinctive symbol used to identify a device is a bar code and, preferably, a perspective invariant bar code. Such bar codes are already known. An example of a perspective invariant bar code uses a set of concentric black rings on a white background. The rings could be of two different thicknesses, to allow binary information to be coded in the ring thicknesses. The rings are reasonably viewpoint invariant because if the circles are viewed at an angle, ellipses will be perceived, which can be transformed by computer processing back to circles before decoding the binary information. Further information could be added by breaking (or not as the case may be) individual rings and by grouping rings.

Simple image processing can be used to find groups of concentric ellipses in an image. The image is first be processed to obtain a threshold for black/white boundaries in the image, then, connected component analysis is used to find connected groups of black pixels on a white background. Ellipses can then be fitted around the inner and outer edge of each component group of black pixels. Next, the ellipses can be transformed to circles and processing carried out to determine whether those circles share common centres and order the rings in terms of size. From there, a discrimination can be conducted between thick rings and thin rings. In order to achieve the latter, it will be necessary to have at least one thick and one thin ring in each pattern.

Figure 4 shows a simplified version of the Figure 3 embodiment in which the user-carried camera and image processing unit are replaced by a directional transmitter (here shown as an IR transmitter 60) mounted on the user's head to point in the direction the user is facing.

5 Now, whenever a device's IR receiver 36 picks up the transmissions from transmitter 60, it knows the user is facing towards the device. The IR transmitter 60 can be arranged to emit a modulated infra red beam, which modulation forms a binary code to particularly identify the wearer as a certain individual or a member of a group of individuals. A very high frequency radio (e.g. mmwave) transmitter or an ultrasound transmitter could be used  
10 instead.

Many other variants are, of course, possible to the arrangement described above. For example, even after its enablement, the speech recognizer of each device can be arranged  
15 to ignore voice input from the user unless, whilst the user is looking towards the apparatus, the user speaks a predetermined key word. In one implementation of this arrangement, after being enabled the speech recogniser is continually informed of when the user is looking towards the device and only provides an output when the key word is recognised (this output can either be in respect only of words spoken subsequent to the key words or can  
20 take account of all words spoken after enablement of the recogniser (the words spoken prior to the key word having been temporarily stored).

The determination of when a user is looking towards a device can be effected by detecting the position of the user in the space 11 by any suitable technology and using a direction  
25 sensor (for example, a magnetic flux compass or solid state gyroscope) mounted on a user's head for sensing the direction of facing of the user, the output of this sensor and the position of the user being passed to a processing unit which then determines whether the user is facing towards a device (in known positions).

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[14] View-Based and Modular Eigenspaces for Face Recognition Pentland A., Moghaddam B. & Starner T. IEEE Conf. on Computer Vision & Pattern Recognition, Seattle, WA, July 1994.

[15] Lip Motion Capture and Its Application to 3-D Molding, Masashi Okubo, Tomio Watanabe, *International Conference on Automatic Face & Gesture Recognition*, Nara, Japan, April 1998.

[16] Lip Motion Automatic Detection, Franck Luthon, & M. Liévin, *10<sup>th</sup> Scandinavian Conference on Image Analysis*, Lappeenranta, Finland, June 1997.

**CLAIMS**

1. A method of activating voice-controlled apparatus, comprising the steps of :
  - 5 (a) - detecting when the user is looking towards the apparatus;
  - (b) - detecting when the user is speaking; and
  - (c) - enabling the apparatus for voice control only if steps (a) and (b) indicate that the user is simultaneously looking towards the apparatus and speaking.
- 10 2. A method according to claim 1, wherein the apparatus, after being enabled for voice control, remains so enabled following cessation of step (a) only whilst step (b) is taking place and for a limited timeout period thereafter, recommencement of step (b) during this period continuing voice control with timing of the timeout period being reset.
- 15 3. A method according to claim 1, wherein the apparatus only remains enabled for voice control whilst step (a) is being effected.
4. A method according to claim 1, wherein the apparatus, after being enabled for voice control, remains so enabled following cessation of step (a) only in respect of a voice having  
20 the same characteristics as that of the detected voice giving rise to enablement, this continuing enablement persisting only whilst the voice continues without a break greater than a predetermined timeout period.
5. A method according to any one of the preceding claims, wherein step (a) is effected  
25 using a camera system mounted on the apparatus, images produced by the camera system being processed to determine if the user is looking towards the apparatus.
6. A method according to any one of claims 1 to 4, wherein step (a) is effected using a  
30 camera system comprising one or more cameras mounted off the apparatus in fixed positions, images produced by the camera system being processed to determine if the user is looking towards the apparatus.

7. A method according to any one of claims 1 to 4, wherein step (a) is effected using a camera system mounted on a user's head and arranged to point in the direction the user is facing or looking, images produced by the camera system being processed by an image processing subsystem to determine if the user is looking towards the apparatus.

5

8. A method according to claim 7, wherein the apparatus carries an identifying mark that is used to identify the apparatus to the image processing subsystem.

9. A method according to claim 8, wherein the identifying mark takes the form of a perspective invariant bar code.

10

10. A method according to claim 8, wherein the identifying mark takes the form of an encoded optical or infrared signal.

11. A method according to claim 8, wherein the identifying mark encodes a communications address at which the apparatus can be contacted.

15

12. A method according to any one of claims 1 to 4, wherein step (a) is effected using a directional transmitter mounted on a user's head and arranged to point in the direction the user is facing, the apparatus having a receiver for detecting emissions from the directional transmitter.

20

13. A method according to any one of claims 1 to 4, wherein step (a) is effected by detecting the position of the user and using a direction sensor mounted on a user's head for sensing the direction of facing of the user, the output of this sensor and the position of the user being used to determine whether the user is facing towards a known position of the apparatus.

25

14. A method according to any one of the preceding claims, wherein speech recognition means of the apparatus ignores voice input from the user unless whilst the user is looking towards the apparatus, the user speaks a predetermined key word.

30



15. A method according to any one of the preceding claims, wherein the enabling of the apparatus for voice control is only effected for specific persons as identified in at least one of the following ways:

- the sending of an identifying code by a transmitting device associated with that person;
- the recognition of the face of the person by an image processing subsystem;
- the recognition of the voice of the person by a voice characteristics recognizer.

16. A method according to claim 15, wherein amongst multiple persons facing the apparatus, a person speaking to the apparatus is discerned by the detection of lip movement by an image processing subsystem, voice control of the apparatus only being enabled if the person speaking is authorized to use the apparatus.

17. A method according to any one of the preceding claims, wherein a check is made prior to enabling voice control of the apparatus that the detected voice originates from a user facing the apparatus, this check involving the detection of lip movement by an image processing subsystem.

**ABSTRACT****Activation of Voice-Controlled Apparatus**

5

A method of activating voice-controlled apparatus (14) is provided which minimises the risk of activating more than one such apparatus at a time where multiple voice-controlled apparatus exist in close proximity. To activate the apparatus, a user (10) is required both to be looking at the apparatus (14) and speaking at the same time. The apparatus is then  
10 activated, preferably only whilst the speaking continues and for a limited period thereafter. Detection of whether the user is looking at the apparatus can be effected in a number of ways including by the use of camera systems (20,24), by a head-mounted directional transmitter, and by detecting the location and direction of facing of the user.

15 (Fig. 1)

1 / 3

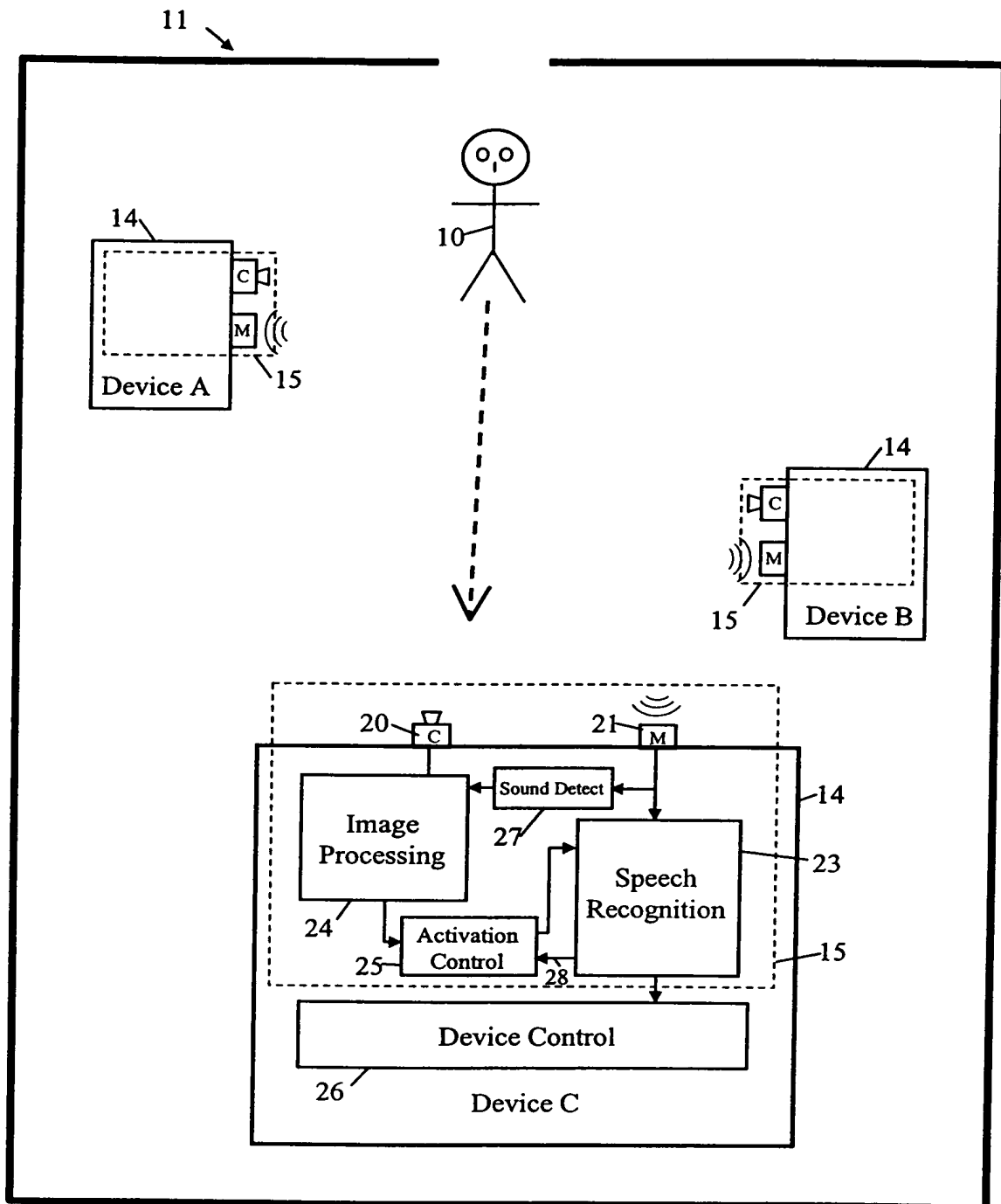


Figure 1

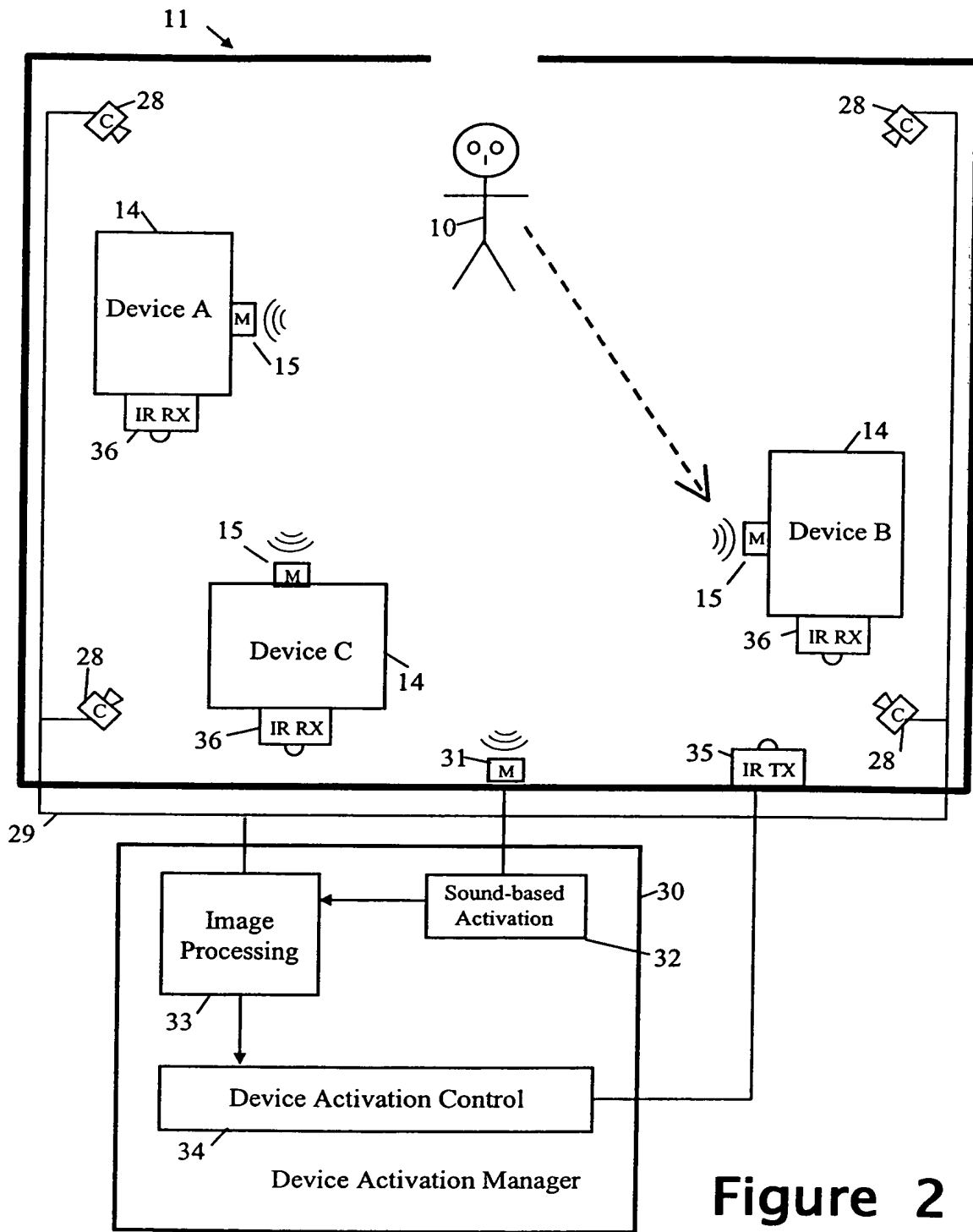


Figure 2

3 / 3

Figure 3

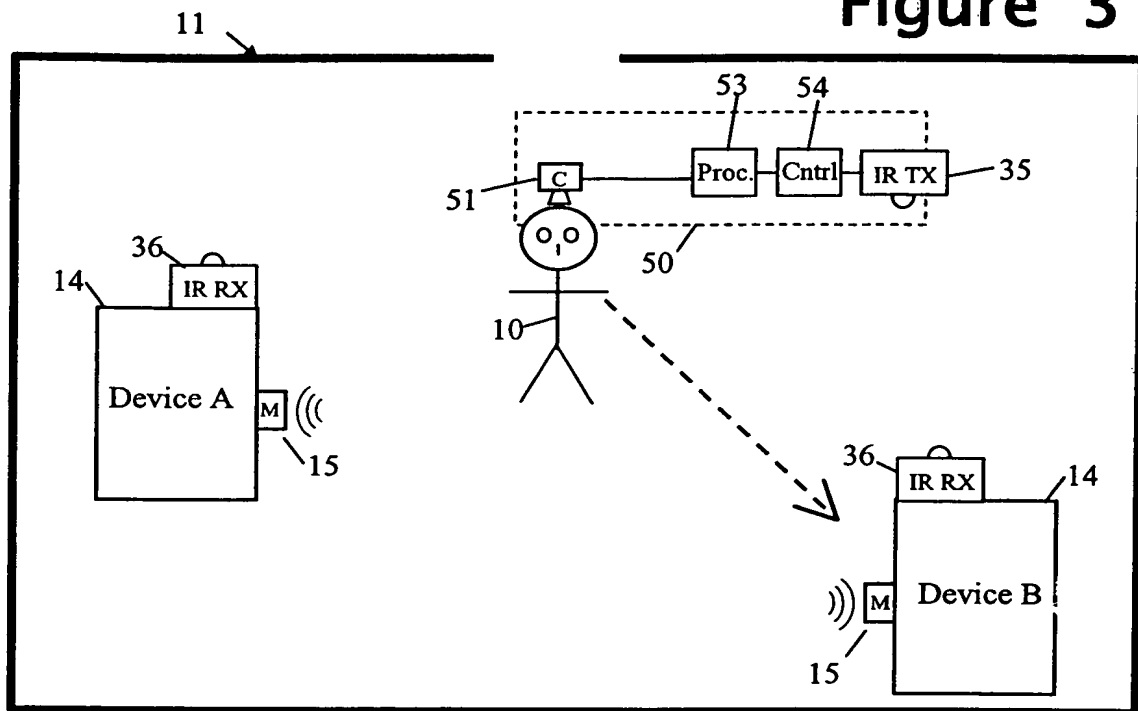


Figure 4

